



# Integrated Airport Operations: Human Performance Assessment

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Founding Members



# Automation and Human Performance

## Why bother?

**Ironies of Automation  
[Bainbridge 1983]**

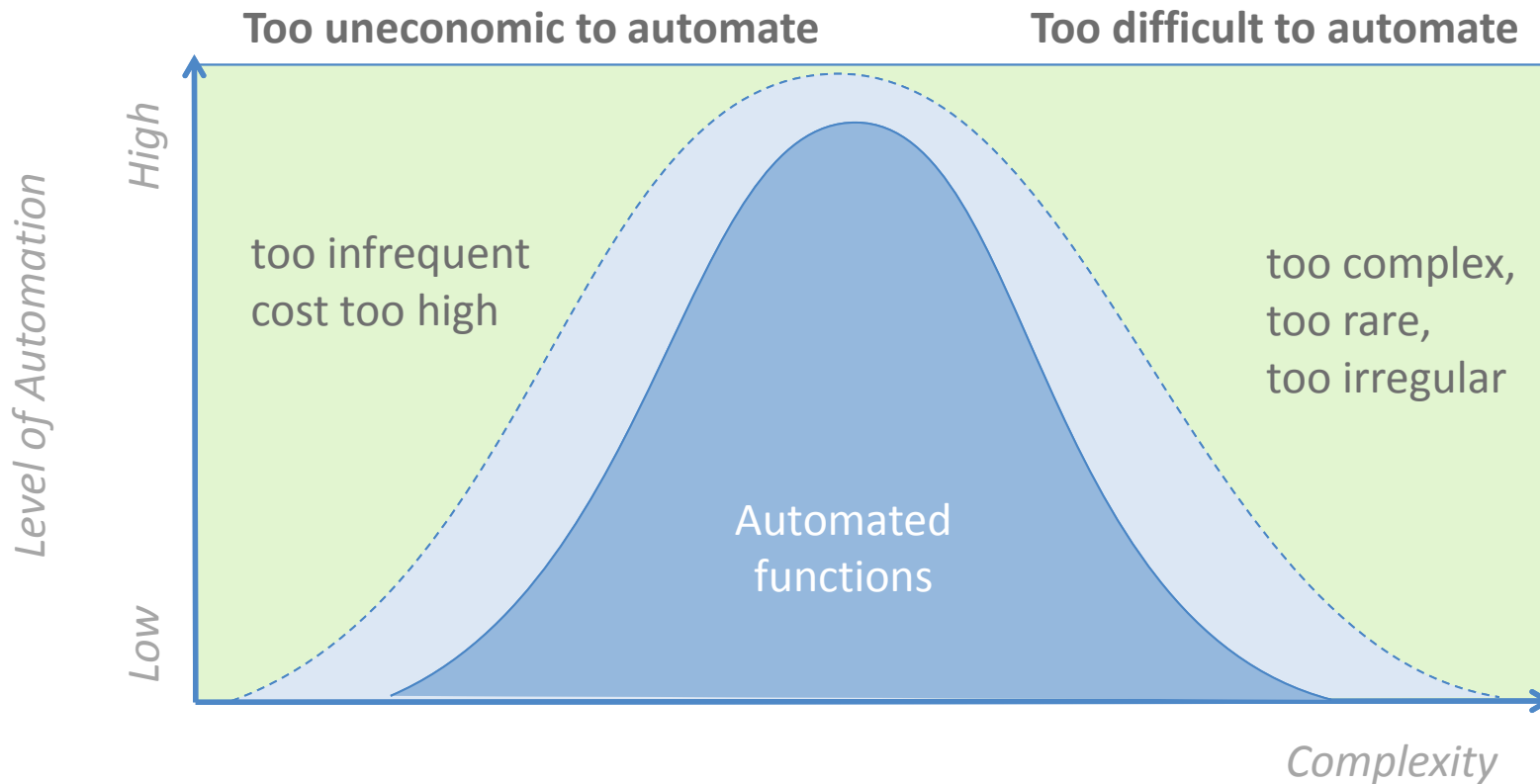
**Left-Over Design Principle**

**Comparison Principle (MABA-  
MABA)**

# Automation and Human Performance

## Why bother?

### Left-Over Design Principle

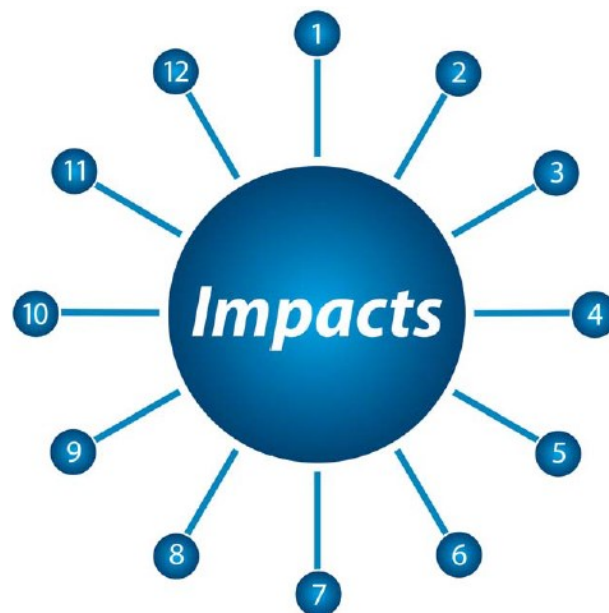


 What is not automated is left for the operator

[ e.g. Hollnagel, 2003 ]

# Human Performance Assessment

1. Understand the ATM Concept → Reference Scenario vs. Solution Scenario
2. Identify Nature of Change (HP issues) and generate assumptions
3. Collect evidence to support assumptions → requirements and recommendations



1. Acceptance

2. Cognitive Processes

3. Comfort

4. Error

5. Fatigue

6. Job Satisfaction

7. Motivation

8. Situational Awareness

9. Skill Change

10. Stress

11. Trust

12. Workload

# Human Performance

## What is relevant for IAO?

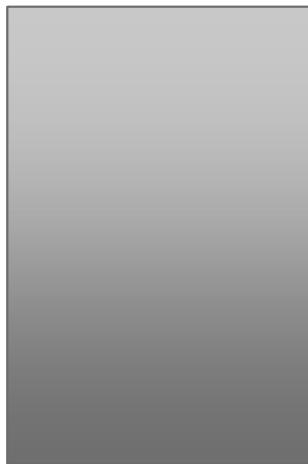
| HP argument branch                                  | Change & affected actors   |
|---|--|
| <b>1. ROLES &amp; RESPONSIBILITIES</b>              |  |
| 1.1 ROLES & RESPONSIBILITIES                        |  |
| <b>1.2 OPERATING METHODS</b>                        | ATCOs have to update the system with clearances given by voice to the aircraft.                                |
| <b>1.3 TASKS</b>                                    | ATCOs are assisted [...]   |
| <b>2. HUMAN &amp; SYSTEM</b>                        |  |
| <b>2.1 ALLOCATION OF TASKS (HUMAN &amp; SYSTEM)</b> | Route management constitutes a change in the allocation of tasks and would require HP assessment contribution. |
| <b>2.2 PERFORMANCE OF TECHNICAL SYSTEM</b>          | The routing function shall propose suitable routes [...]   |
| <b>2.3 HUMAN – MACHINE INTERFACE</b>                | System update is a critical issue related to alert functions [...]   |
| <b>3. TEAMS &amp; COMMUNICATION</b>                 |  |
| 3.1 TEAM COMPOSITION                                |  |
| <b>3.2 ALLOCATION OF TASKS</b>                      |  |
| <b>3.3 COMMUNICATION</b>                            |  |
| <b>4. HP RELATED TRANSITION FACTORS</b>             |  |
| <b>4.1 ACCEPTANCE &amp; JOB SATISFACTION</b>        | ATCOs should accept new solutions [...]  |
| <b>4.2 COMPETENCE REQUIREMENTS</b>                  |  |
| <b>4.3 STAFFING REQ. &amp; STAFFING LEVELS</b>      |  |

# Human Performance

## Methods for shadow mode tests

„Think of the last shift you worked as a controller. Would you rate this shift as a normal shift? If not, think of the last normal shift“

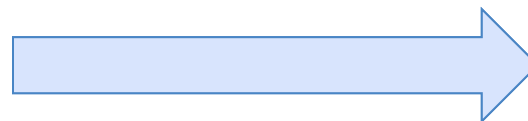
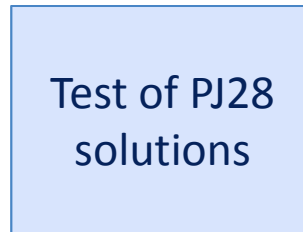
Mental Baseline



Interpretation of  $\Delta$



Test of PJ28  
solutions



Solution



# Data Acquisition Hamburg

*N* = 14 Controllers

6 female

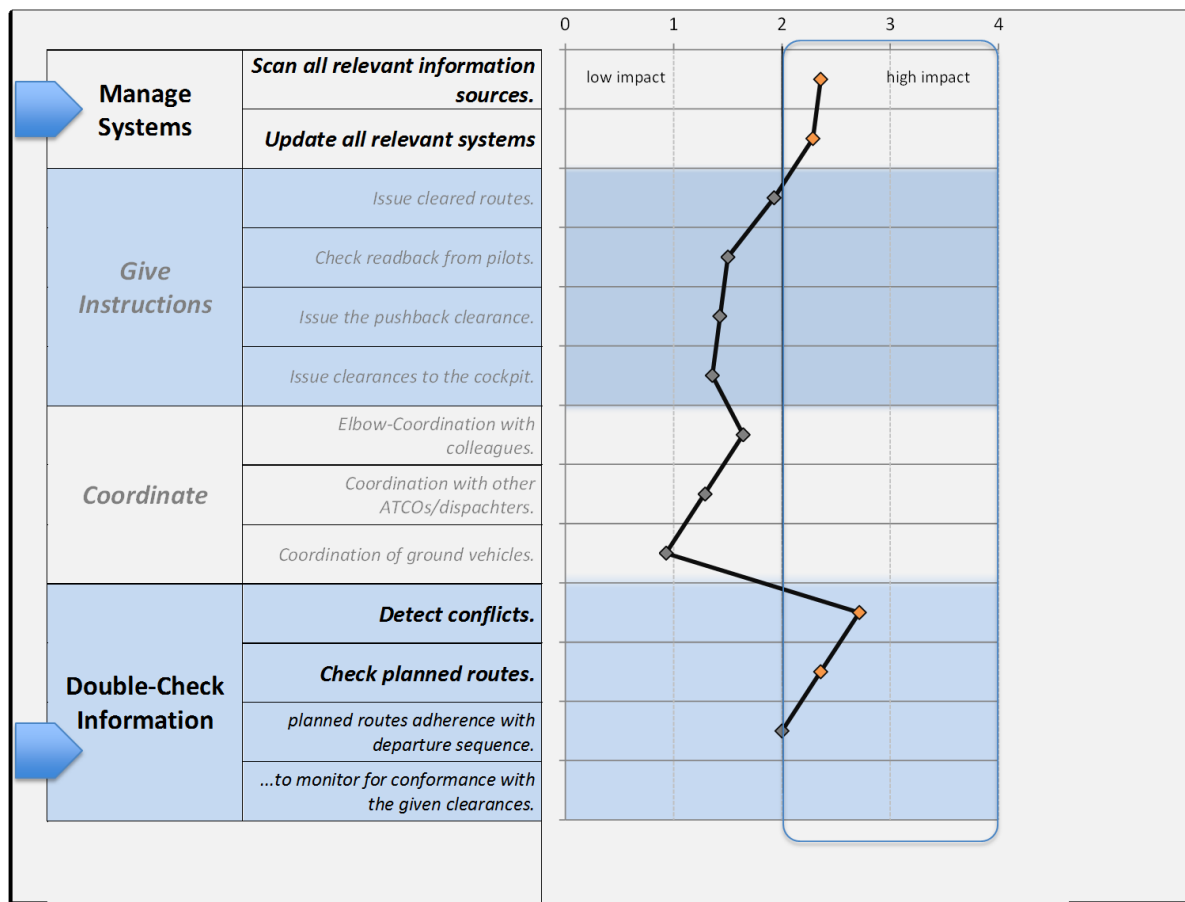
age: 25-51 ys (mean 38,1)

Experience: 3-27 ys (mean 11,9)



| Time  |  |
|-------|--|
| 09:00 | Introduction and Briefing PJ28;<br><b>Mental Baseline Questionnaire</b>              |
| 09:40 | Workshop „Routing examples,, (paper-based: routing comparison of ATCO and algorithm) |
| 10:40 | Break  |
| 11:00 | Introduction CWP and Hands-on Demonstration  |
| 12:15 | Break  |
| 12:25 | <b>Post-run Questionnaires</b> and Debriefing  |
| 13:00 | End  |

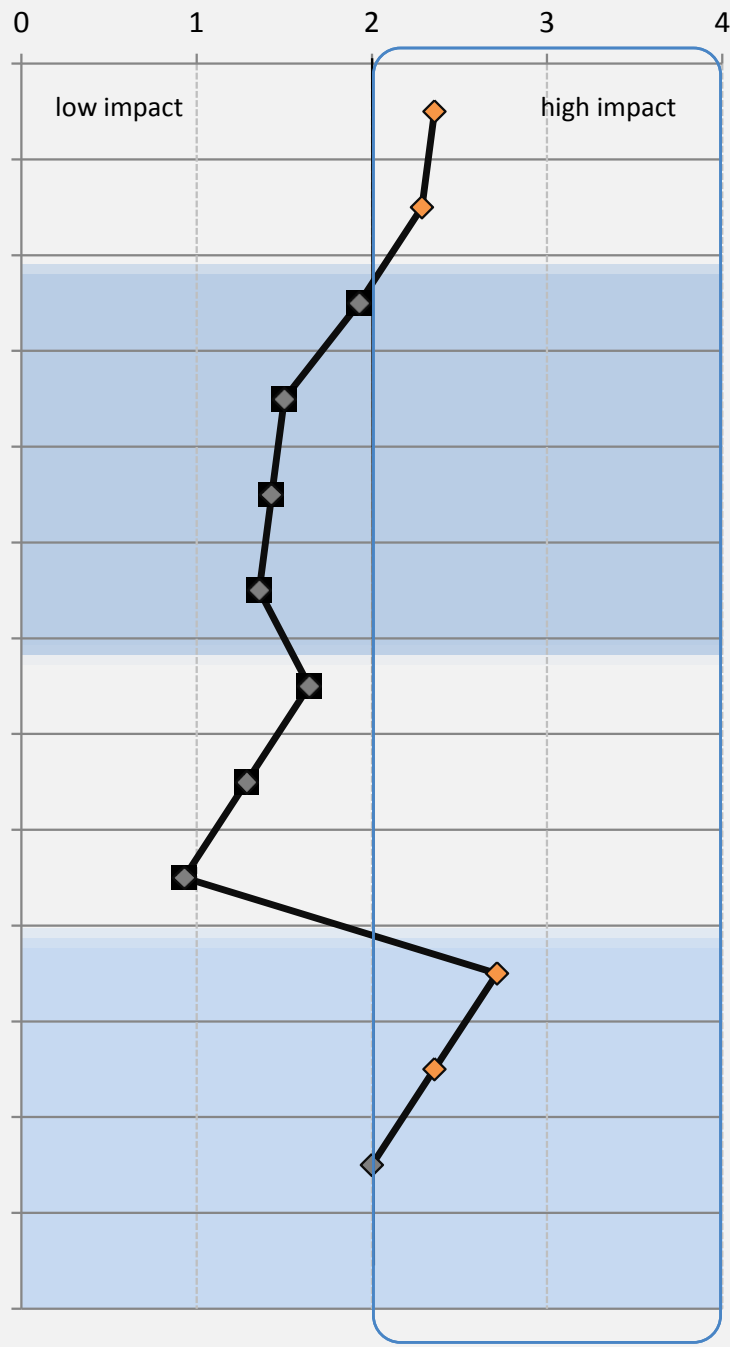
# Results

## Impact on tasks





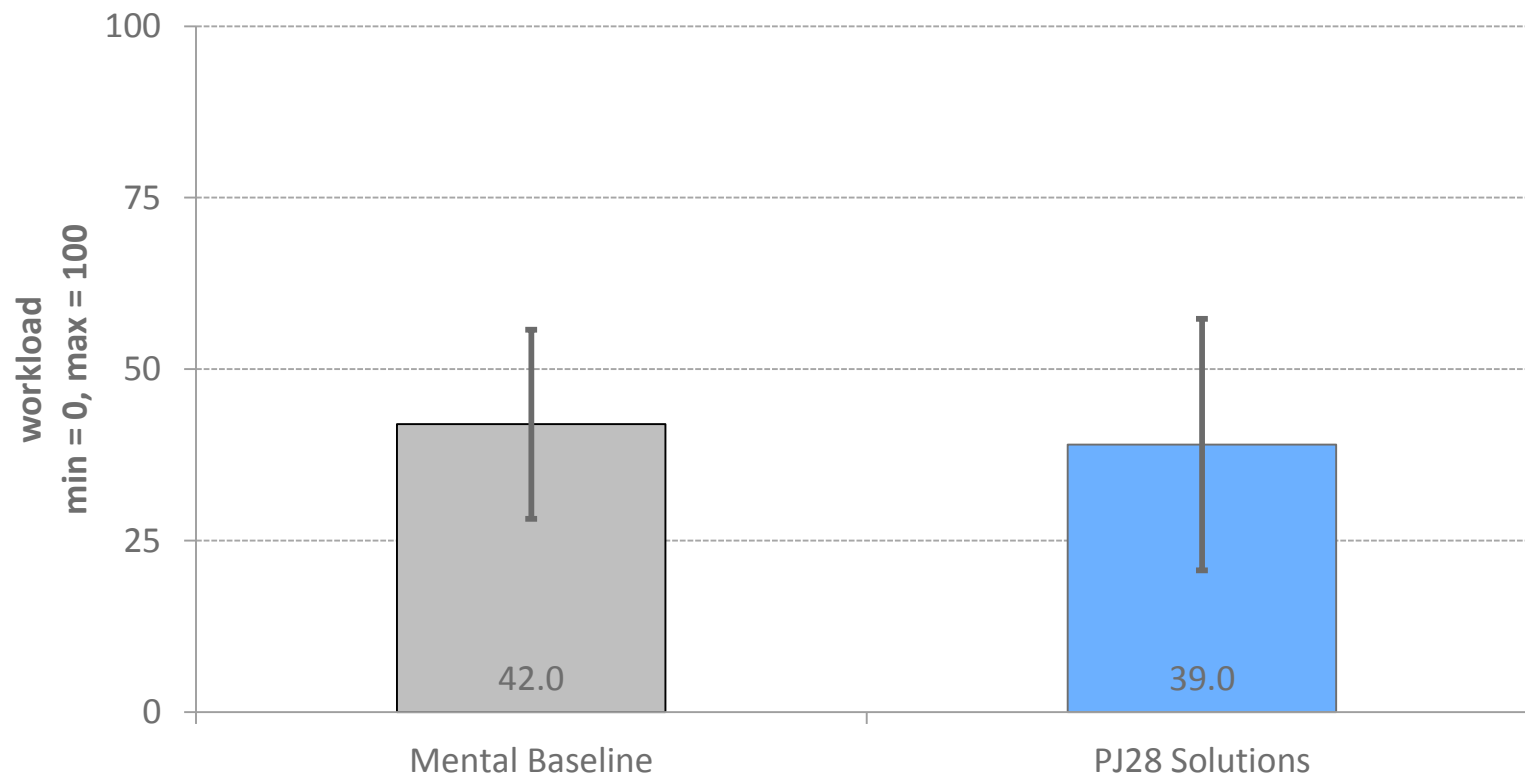
|   |   |
|---|---|
|  <b>Manage Systems</b>             | <b><i>Scan all relevant information sources.</i></b>            |
|   | <b><i>Update all relevant systems</i></b>                       |
| <b><i>Give Instructions</i></b>   | <i>Issue cleared routes.</i>                                    |
|   | <i>Check readback from pilots.</i>                              |
|   | <i>Issue the pushback clearance.</i>                            |
|   | <i>Issue clearances to the cockpit.</i>                         |
| <b><i>Coordinate</i></b>  | <i>Elbow-Coordination with colleagues.</i>                      |
|   | <i>Coordination with other ATCOs/dispatchers.</i>               |
|   | <i>Coordination of ground vehicles.</i>                         |
|  <b>Double-Check Information</b> | <b><i>Detect conflicts.</i></b>                                 |
|   | <b><i>Check planned routes.</i></b>                             |
|   | <i>planned routes adherence with departure sequence.</i>        |
|   | <i>...to monitor for conformance with the given clearances.</i> |



# Results

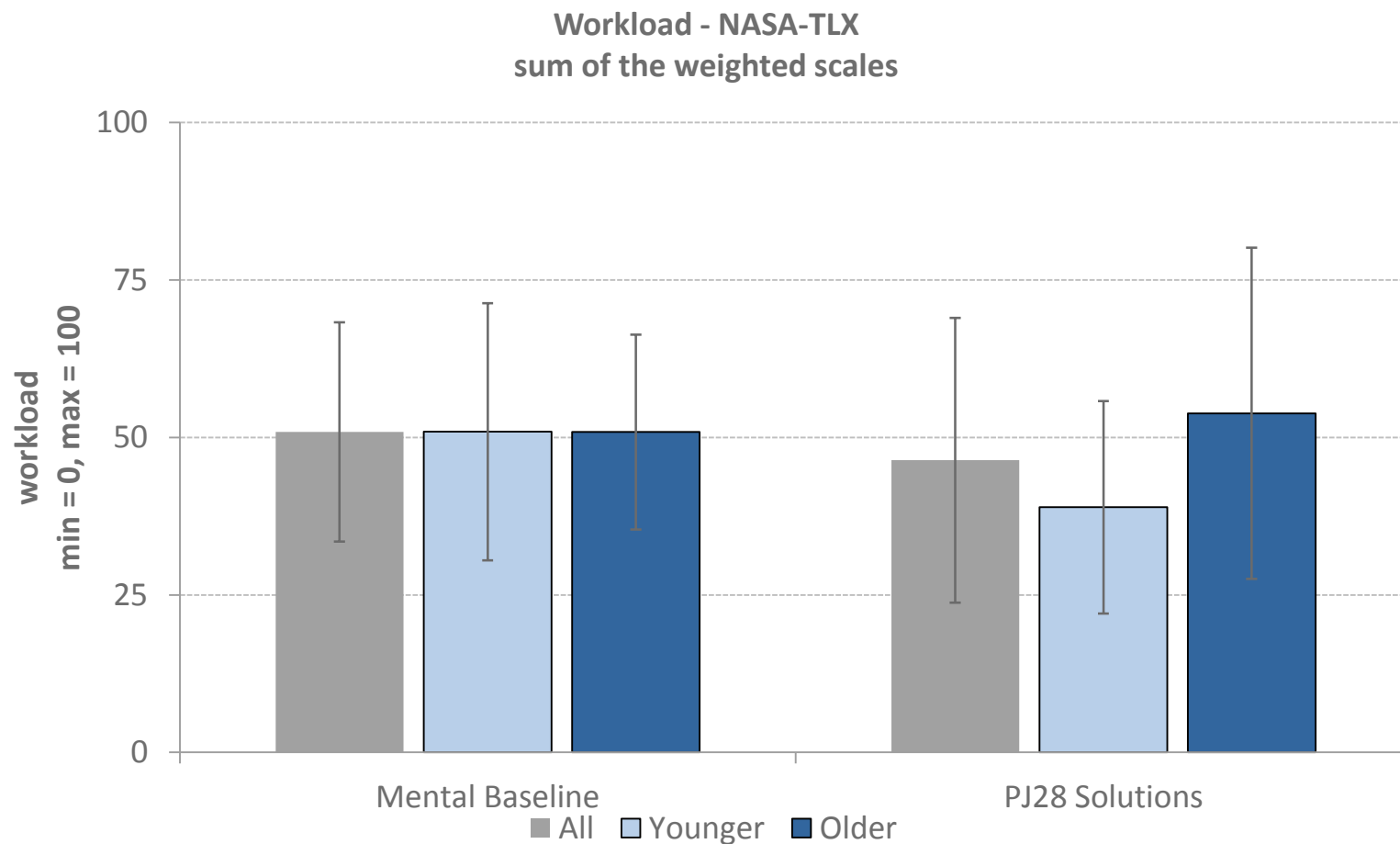
## PJ28 solutions and workload

Workload - NASA-TLX  
sum of the weighted scales



# Results

## PJ28 solutions and workload

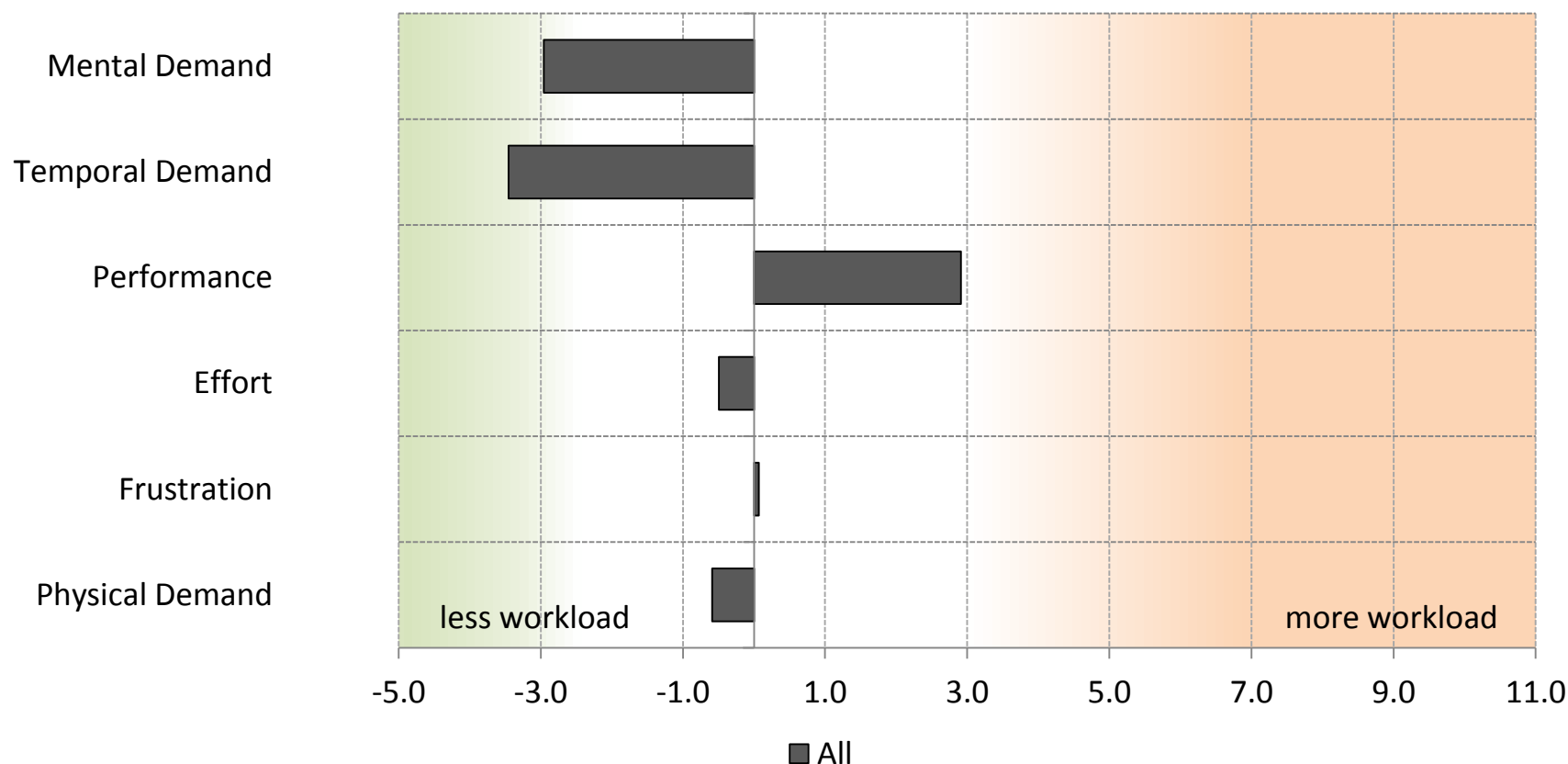


Explorative Analysis – which factors explain variance in PJ28 ratings? Age and experience of controllers lead to descriptive differences.

# Results

## What can we learn?

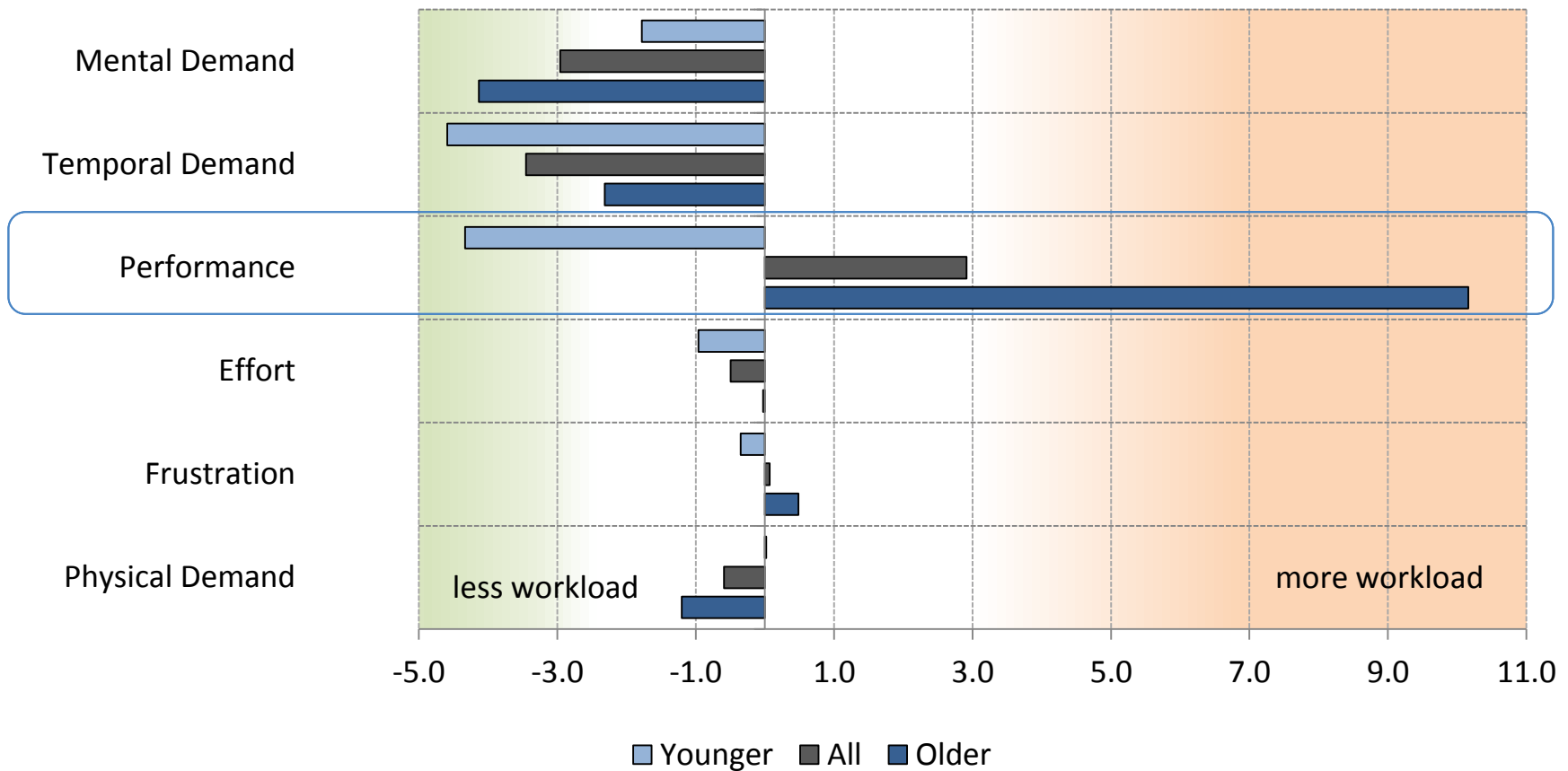
**NASA-TLX: mean difference between mental baseline and PJ28 solutions**



# Results

## What can we learn?

NASA-TLX: mean difference between mental baseline and PJ28 solutions



# Human Performance Assessment Discussion and Summary

PJ28 solutions do have an impact on intended tasks.

PJ28 demonstrations have had a strong focus on Human Performance.

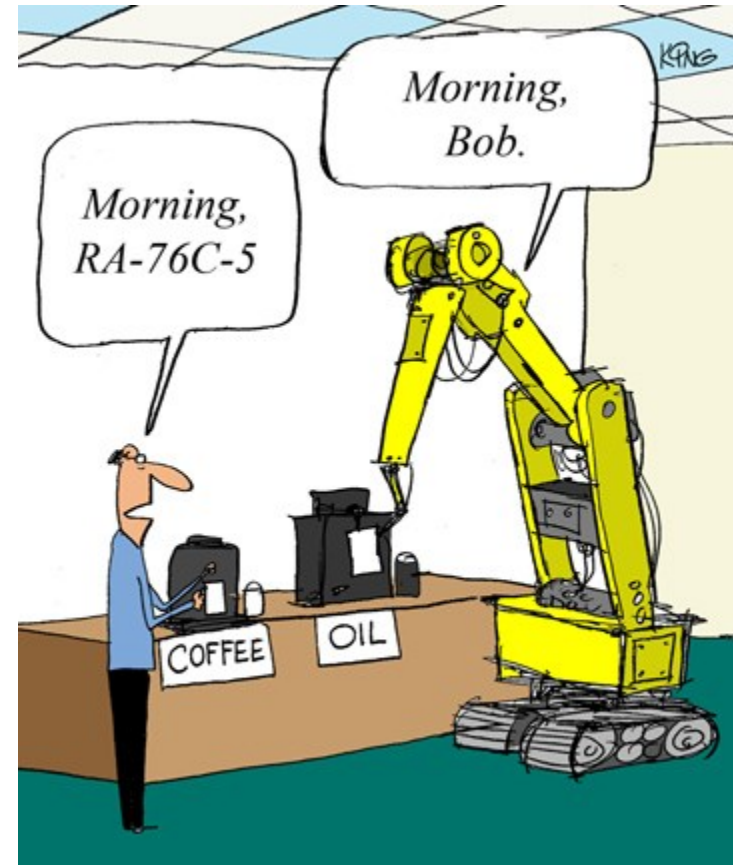
Changes in working procedures and concepts need time, training and systems should be designed to be able to adapt to individual needs.

Designing automated solutions: „one size does not fit all“

To **keep the human in the loop** and **support the strengths of human decision making**, automation should be designed carefully:

- Enable experienced operators to make use of an automated system, e.g. **teach the automation**
- **Adapt automation levels** to individual needs

From a human performance perspective, PJ28 solutions were generally accepted.



[<http://www.automationnews.org/wp-content/uploads/2011/10/Automation-Cartoon-1.jpg>]



## Integrated Airport Operations (PJ28) - Dissemination activities – WP4

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# Questions?



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